

## How to Invest Further Funds - EXISTING INVESTOR

To invest further funds in the Gyrostat Absolute Return Income Equity Fund (Fund) you will need to complete and sign the attached Application Form.

- Joint applications must be signed by all applicants. Joint investments will be deemed to be held as Joint Tenants.
- Applications under Power of Attorney must be accompanied by a certified copy or the original of the Power of Attorney with specimen signatures.

The minimum further investment in the Fund is \$50,000. The trustee of the Fund, Gyrostat Capital Management Pty Ltd (ACN 138 219 002) AFSL 452917 (Gyrostat, Trustee, We or Us) may vary the minimum investment amount from time to time. An investment in the Fund is only open to wholesale clients for the purposes of the Corporations Act.

### Completed application forms for further investment should be sent to:

Gyrostat Capital Management Pty Ltd

**Address** PO Box 7136, Melbourne 3004  
**Telephone** 03 9041 0970  
**Fax** 03 9041 0971  
**Email Address** applications@gyrostat.com.au

### You may pay your application money by electronic transfer or cheque to:

**Account Name** One Managed Investment Funds Limited ACF Gyrostat Absolute Return Income Equity Fund Subscriptions Account  
**Bank** St George  
**BSB** 332-127  
**Account number** 554 334 328

### PLEASE CERTIFY WHETHER OR NOT YOU ARE A POLITICALLY EXPOSED PERSON (PEP).

You are a PEP if you hold a prominent public position or function in a government body or international organisation or you are an immediate family member or close associate of a PEP. A PEP is not disqualified from investing but we are required to collect additional information from you as part of the application process. Please certify that you are a PEP if you:

- **Hold a prominent public position or function in a government body or an international organisation including:**
  - Head of State or head of a country or government or are a Senior Government official;
  - Judge of the High Court of Australia, the Federal Court of Australia or a Supreme Court of a State or Territory, or a Judge of a Court of equivalent seniority in a foreign country or international organisation;
  - Senior foreign representative, ambassador, or high commissioner;
  - High-ranking member of the armed forces;
  - Board chair, chief executive, or chief financial officer of, or any other position that has comparable influence in, any State enterprise or international organisation;
- **Have an immediate family member or a close associate that is a person referred to in the first bullet point including:**
  - A spouse or de facto partner;
  - A child or child's spouse or de facto partner;
  - A parent; or
  - Any individual who is known (having regard to public or readily available information) to have Joint Beneficial Ownership of a legal entity or legal arrangement with a person referred to in the first bullet point, or Sole Beneficial Ownership of a legal entity or legal arrangement that is known to exist for the benefit of a person described in the first bullet point

I am NOT a PEP

I am a PEP

## Application Form

This application form relates to the Information Memorandum dated 10 July 2017 (IM) issued by Gyrostat Capital Management Pty Ltd (ACN 138 219 002) AFSL 452917. Please read the IM in full before completing this Application Form. Unless otherwise specified, terms defined in the IM have the same meaning in this Application Form.

PLEASE COMPLETE IN BLOCK LETTERS

## Investor & Investment Details

Please provide the name of the existing Investor wishing to make a further investment

INVESTOR NAME

ACN OR ABN (if applicable)

Address details (PO Box is NOT acceptable)

STREET

SUBURB

STATE

POSTC

COUNTRY

Postal address (if different from above)

STREET

SUBURB

STATE

POSTCODE

COUNTRY

TELEPHONE

FACSIMILE

EMAIL

Investment Details

\$ AMOUNT (\$AUD)

Please note the minimum further investment amount is \$50,000.00.

Payment Details

Please tick  the box to advise how your payment will be made:

|                          |                                    |   |
|--------------------------|------------------------------------|---|
| <input type="checkbox"/> | Cheque – Please make payable to    | <b>One Managed Investment Funds Limited ACF Gyrostat Absolute Return Income Equity Fund Subscriptions Account</b>   |
| <input type="checkbox"/> | Electronic Funds Transfer (EFT) to | <p><b>Account Name</b> One Managed Investment Funds Limited ACF Gyrostat Absolute Return Income Equity Fund Subscriptions Account</p> <p><b>Bank</b> St George</p> <p><b>BSB</b> 332-127</p> <p><b>Account Number</b> 554 334 328</p> |

**We will rely upon your initial Application Form for the Investor Details set out below. If any of these have changed since your initial investment, please contact us to discuss as additional information may be required.**

**Taxation Details**

Including tax file number, exemption number, trustee and residency details.

**Distributions and withdrawal proceeds**

Including your distribution preference and bank account details and details of who may operate accounts on behalf of the Investor.

**Shareholders**

If the existing Investor is a company, and the shareholders have changed since your initial investment, please contact us to discuss.

**Trustees**

If the existing Investor is a Trustee of a Trust and details of the Trust, beneficiaries or the shareholders of the Trustee Company have changed since your initial investment, please contact us to discuss.

## Declaration And Signature

### Privacy Collection Notice

The Trustee will collect personal information relating to you in its capacity as trustee of the Fund. Such information may be disclosed by the Trustee to the Manager or may be collected directly from you by the Manager.

To assist the Manager to act as the investment manager of the Fund, personal information relating to you may also be disclosed by the Manager to the Trustee (or vice versa) or by any party that acts as the administrator of the Fund from time to time.

The Manager collects personal information to assist it to act as the investment manager of the Fund.

The Trustee collects personal information so that it can process and administer your application for investment in the Fund, manage and administer any investment you subsequently make in the Fund and otherwise perform its duties as trustee of the Fund.

If you do not provide the personal information requested in this Application Form or provide incomplete or inaccurate information, the Trustee may not be able to accept or process your application for investment in the Fund or may be limited in the services the Manager and/or the Trustee can provide with respect to the administration of any investment you subsequently make in the Fund.

The Manager privacy policy sets out details of how the Manager will collect, store, manage, use and disclose personal information it collects from you. The Manager privacy policy is available at [www.gyrostat.com.au/governance](http://www.gyrostat.com.au/governance).

The Trustee privacy policy sets out details of how the Trustee will collect, store, manage, use and disclose personal information it collects from you. The Trustee privacy policy is available at [www.gyrostat.com.au/governance](http://www.gyrostat.com.au/governance).

The Manager privacy policy and the Trustee privacy policy also contain information about how you can access and seek correction of the personal information held by the Manager and the Trustee (as applicable), how you can complain to the Manager or the Trustee (as applicable) about a breach of the Privacy Act or any registered code under the Privacy Act that binds the Manager or the Trustee and how the Manager or the Trustee (as applicable) will respond to and deal with such a complaint.

The Manager or the Trustee may be required or authorised by law to collect certain personal information from you and/or disclose your personal information. For example, the Manager and the Trustee are required to collect and disclose certain information in order to comply with the identification and verification requirements imposed under the Anti-Money Laundering and Counter Terrorism Financing Act.

The Manager will disclose your personal information to the Trustee or any other party that acts as the trustee of the Fund from time to time.

The Trustee will disclose your personal information to any other party appointed to act as administrator of the Fund from time to time. The Trustee may also disclose your personal information organisations involved in providing services to the Trustee, including registry or identity verification service providers.

By signing and/or submitting this Application Form to the Manager, you consent to all such disclosures by the Manager or the Trustee.

Some of the recipients to whom the Manager or the Trustee discloses your personal information may be based overseas.

### For queries or information regarding privacy matters please contact:

Gyrostat Capital Management Pty Ltd ACN 138 219 002

**Privacy Officer** Shelley Racine  
**By telephone** 9041 0970  
**By letter** Suite 402, 566 St Kilda Road or PO Box 7136 Melbourne, VIC, 3004  
Melbourne, VIC, 3004  
**By email** [shelley.racine@gyrostat.com.au](mailto:shelley.racine@gyrostat.com.au)

### Declaration

#### I/we declare and agree that:

- All details in this application are true and correct;
- I/we have received and have read, or have had the opportunity to read, the Information Memorandum for the Fund (IM);
- I/we have read the Privacy Collection Notice and agree to be bound by the terms of that notice;
- I/we am/are an individual over 18 years of age, or I am a duly incorporated body;
- If this application is signed under Power of Attorney, the Attorney declares that he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this application);
- If signing on behalf of a company as a sole signatory, that I am signing as a sole director and sole secretary of the company; and
- If investing as trustee, on behalf of a superannuation fund or trust, that I/we am/are acting in accordance with my/our designated powers and authority under the applicable trust deed. In the case of a superannuation fund, I/we also confirm that it is a complying fund under the Superannuation Industry (Superannuation) Act 1993.

**I/we acknowledge that:**

- Neither the Trustee, Manager, their related bodies corporate or associates nor any other person guarantees the repayment of capital or the performance of the Fund or any particular rate of return from the Fund;
- Unit holdings are subject to investment risks, including loss of income and principal invested and possible delays in repayment;
- The Trustee is authorised to apply the TFN or ABN provided and it will be applied to all future applications for Units, unless I/we advise the Trustee otherwise;
- The Trustee in consultation with the Manager reserves the right to not accept any application in its absolute discretion;
- If my/our application is incomplete or monies are dishonoured, the Trustee will not process my/our application and will notify me/us.
- I acknowledge that a completed application comprises a valid Application Form, Investor Identification Documentation and cleared Funds in the Trustee's Bank Account; and
- I/we have read the information on privacy and personal information contained in the IM and consent to my/our personal information being used and disclosed as set out in the IM and in accordance with the Trustee's privacy policy including for, or in relation to, the subscription for Units.

**I/we warrant that:**

- I/we will comply and will continue to comply with applicable anti-money laundering and counter-terrorism financing laws and regulations, including but not limited to the law and regulations of Australia in force from time to time (AML/CTF Law);
- I/we am/are not aware and have no reason to suspect that the moneys used to fund my/our investment have been or will be derived from or related to any money laundering, terrorism financing or similar activities illegal under applicable laws or regulations ('illegal activity');
- or that the proceeds of my/our investment in a Fund will be used to finance any illegal activities;
- I/we will provide the Manager and Trustee with all additional information and assistance they may request in order for them to comply with any AML/CTF Law; and
- I/we am/are not a 'politically exposed' person or organisation for the purposes of any AML/CTF Law

|           |                                       |       |      |
|-----------|---------------------------------------|-------|------|
| SIGNATURE | NAME AND TITLE (BLOCK LETTERS PLEASE) | PHONE | DATE |
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