

Manager Privacy Policy

July 2014

How Do We Use Personal Information Provided By Investors?

Gyrostat Capital Management Pty Ltd (ACN 138 219 002) as Manager of the Gyrostat Capital Stability Fund (Gyrostat, we, us) is committed to maintaining the privacy of any personal information about our investors (investor, you, your) that we collect in accordance with the *Privacy Act 1998* (Cth), its amendments and the Australian Privacy Principles contained in Schedule 1 of the *Privacy Act 1998* (APPs).

We will take all reasonable steps to protect your personal information from misuse, interference, loss, unauthorised access, modification or disclosure using both physical and electronic security measures.

By providing to us your personal information, you consent to us using, disclosing and otherwise handling your personal information in accordance with this Privacy Policy as updated from time to time.

Investors' personal information is gathered using an Application Form which is associated with a current Information Memorandum. The Information Memorandum and Application Form are available on the Gyrostat website. Your personal information is required in order that we can provide the investment to you. We usually only collect information about investors directly from investors.

The personal information that you supply to us will be used for the primary purpose of establishing your investment in the Fund and to verify your identity. Your personal information will also be used for the purposes of processing your application, administering your investment, complying with applicable laws and regulations for purposes related to our product and service development.

We may also use your personal information to forward to you, from time to time (including by email), details of other investment opportunities available to you from us. However, if you request, no information of that nature will be sent to you.

Who Do We Provide Investors' Information To?

Gyrostat collects your personal information and is located in Australia and subject to Australian privacy legislation.

We may use and disclose your personal information for a permitted purpose which may involve the transfer of your personal information outside of Australia (including to countries where there may be less stringent data protection laws) to process personal information on our behalf. Where this is the case, it is not possible to ensure that the overseas recipient does not breach the APPs in relation to your personal information. In providing us with your personal information, you consent to the possibility that your personal information may be transferred outside of Australia for processing.

We may also share your personal information for a permitted purpose:

- Inside the Gyrostat group of companies
- To third parties we appoint as custodians located in Australia
- To an investor's agent
- To government authorities when required by law.

What Personal Information Do We Collect and Hold?

Gyrostat may collect and hold your personal information for the purposes of enabling you to invest in the Gyrostat Capital Stability Fund.

The kinds of personal information that we may collect and hold include your name, date of birth, home address, phone number, bank account details and tax file number (TFN).

If we are no longer authorised or required by law to do so, we will not retain your personal information for any purpose for which we may lawfully use or disclose it.

What If You Do Not Provide The Personal Information Requested?

Where you do not provide us with all or some of your personal information as set out in our Application Form, you may not be able to invest in the Gyrostat Capital Stability Fund.

It is not compulsory for you to provide a TFN. However without an investor's TFN or relevant exemption information, withholding tax will be deducted from distributions at the highest marginal rate (plus Medicare Levy).

Joint applicants will be assumed to be joint tenants unless otherwise specified.

You must provide us with your current bank account details as redemption and distribution (if applicable) payments are required to be paid into this bank account. The account must be held in the same name as the investment account holder. The bank account must be domiciled in Australia and denominated in Australian dollars.

We are required to you various communications about your investment. This includes a monthly unitholder statement, application and redemption confirmations, and half-yearly and annual statements. Therefore, it is a requirement for you to nominate your preferred method for receiving these communications. In receiving or remaining silent in confirming receipt, you are not bound or consenting to any further materials or obligations and may unsubscribe to such further materials at any time.

To comply with our obligations under Anti-Money Laundering and Counter-Terrorism Financing Laws, we must collect certain information, supported by original or certified copies of relevant documents, about each investor. Documents written in a language other than English must be accompanied by an English translation prepared by an accredited translator.

We may hold your personal information in physical and/or electronic storage facilities.

Contacting Gyrostat

Gyrostat takes reasonable steps to ensure the personal information about investors that we collect, use or disclose is accurate, complete and up to date.

You may request access to the personal information Gyrostat holds about you. You may also request that we amend or correct information, however we are not obliged to make those changes or provide such information where the law stipulates or provides us with the discretion to refuse.

You can request access to or changes to your personal information by telephoning us or writing to the

Privacy Officer of Gyrostat:

The Privacy Officer
Gyrostat Capital Management Pty Ltd
Address: PO Box 7136, Melbourne VIC 3004 or Suite 402, 566 St Kilda Road Melbourne
VIC 3004
Telephone: (03) 9041 0970
Email Address: info@gyrostat.com.au

Complaints

Gyrostat treats complaints concerning privacy in the same manner as any other complaint about our products or services. Please contact the Privacy Officer using the details provided above.

Gyrostat will acknowledge your complaint within 10 business days of receipt and will endeavour to resolve the complaint within 30 days. If Gyrostat has not resolved the complaint within 30 days, or you are dissatisfied with the outcome of our internal complaints process, you have the right to contact the Office of the Australian Information Commissioner (OAIC) about your complaint via their online Privacy Complaint Form available at www.oaic.gov.au/privacy/making-a-privacy-complaint.